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ECB-PUBLIC  
UPDATABLE

## RECORD OF PROCESSING ACTIVITY

ECB oversight competence in the SSM framework

### 1. Controller(s) of data processing activities

Controller: European Central Bank (ECB)

Contact details:

*European Central Bank*

*Sonnemannstrasse 22*

*60314 Frankfurt am Main*

*Germany*

*E-mail: [info@ecb.europa.eu](mailto:info@ecb.europa.eu)*

Organisational unit responsible for the processing activity: Directorate General  
Microprudential Supervision III (DG-MS III)

Data Protection Officer (DPO): [DPO@ecb.europa.eu](mailto:DPO@ecb.europa.eu)

### 2. Who is actually conducting the processing activity?

The data is processed by the ECB itself

The organisational unit conducting the processing activity is:

Directorate General Microprudential Supervision III

### 3. Purpose of the processing

In line with Article 6(5)(c) of Council Regulation (EU) No 1024/2013 the ECB has an

oversight function over less significant institutions. To that end, the ECB ensures that the supervisory activities carried out by the National Competent Authorities (NCAs) for less significant credit institutions are in line with high supervisory standards, and that supervisory outcomes, practices and standards are consistent.

#### 4. Description of the categories of data subjects

- Complainants, correspondents and enquirers
- Other (please specify): *Managers of supervised entities, managers of entities seeking to acquire a qualifying holding in a supervised entity, managers of entities seeking to obtain a banking license.*

#### 5. Description of the categories of personal data processed

(a) **General personal data:**

The personal data contains:

- Education & Training details
- Employment details
- Financial details

#### 6. The categories of recipients to whom the personal data have been or will be disclosed, including the recipients of the data in Member States, third countries or international organisations

- Designated ECB staff members
- Designated NCB or NCA staff members in the ESCB or SSM context

#### 7. Retention time

In accordance with the ECB Filing and Retention Plan, most data related to the supervisory oversight functions are kept for a maximum of 15 years, such as master data on supervised institutions, data on authorisation procedures, data on supervisory oversight.